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STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09801490
Filing Date	2001-03-08
First Named Inventor	Keith
Art Unit	3696
Examiner Name	Daniel S. Felten
Attorney Docket Number	125530

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1	HANDA, P., et al., "A Tale of Two Trading Venues: Electronically Delivered Orders vs. Floor Brokered Orders on the American Stock Exchange," Proceedings of the 32nd Hawaii International Conference on Systems Sciences [HICSS-32], January 1999, 7 pages.	<input type="checkbox"/>
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